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PAGE 01

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**ALEXANDRIA, VA 22313-1450** 

FAX NO.

(703) 746-9195

**FROM** 

ALBERT WAI-KIT CHAN

DOCKET

Re: JIANG, Shibo and DEBNATH Asim Kumar, U.S. Application for COMPOUNDS FOR INHIBITION OF HIV INFECTION BY BLOCKING HIV ENTRY, U.S. Serial No. 10/706,027, Filed November 12, 2003, claiming priority of U.S. Serial No. 60/428,055, filed on November 21, 2002 – Dkt. #706-

A-US

DATE

June 21, 2004

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#### Message

Applicants: JIANG, Shibo and DEBNATH, Asim Kumar

Client: New York Blood Center (340)

Date: June 21, 2004

File No.: 706-A-US

COMMUNICATION TO CORRECT MARCH 8, 2004 FILING RECEIPT AND JUNE 7, 2004 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT for Shibo JIANG and Asim Kumar DEBNATH, U.S. Serial No. 10/706,027, Filed November 12, 2003, for COMPOUNDS FOR INHIBITION OF HIV INFECTION BY BLOCKING HIV ENTRY, including Exhibits A, B and C.

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Dkt. #706-A-US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shibo JIANG and Asim Kumar DEBNATH

U.S. Serial No.: 10/706,027

Filed: November 12, 2003

FOr : COMPOUNDS FOR INHIBITION OF HIV INFECTION BY

BLOCKING HIV ENTRY

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604 141-07 20<sup>th</sup> Avenue Whitestone, NY 11357

June 21, 2004

Fariers Suma

Office of Initial Patent Examination's Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

.1

### FACSIMILE CERTIFICATE IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

I hereby certify that this paper is being sent on the date indicated above and is addressed to the Office of Initial Patent Examinations, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Printed Name: Farieza Juman

Respectfully submitted,

(R. Market Mai-Kit Chan
Registration No. 36,479
Attorney for Applicants
Law Offices of
Albert Wai-Kit Chan, LLC
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141-07 20<sup>th</sup> Avenue
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Dkt. #706-A-US

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Shibo JIANG and Asim Kumar DEBNATH

U.S. Serial No.:

:

:

10/706,027

Filed

November 12, 2003

For

COMPOUNDS FOR INHIBITION OF HIV INFECTION

BY BLOCKING HIV ENTRY

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20<sup>th</sup> Avenue

Whitestone, New York 11357

June 21, 2004

Office of Initial Patent Examinations Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## COMMUNICATION TO CORRECT MARCH 8, 2004 FILING RECEIPT AND JUNE 7, 2004 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Applicants hereby file this Communication to Correct March 8, 2004 Filing Receipt and the June 7, 2004 Notice of Recordation of Assignment Document which were issued by the United States Patent and Trademark Office. A copy of March 8, 2004 Filing Receipt is attached as Exhibit A (2 pages) and a Notice of the Recordation of Assignment Document is attached as Exhibit B (4 pages). There is no deadline for filing this Communication, so this Communication is being timely filed.

The above-referenced application was filed on November 12, 2003, but the Filing Date on the return postcard and Filing Receipt was listed incorrectly as November 13, 2003. As proof of the correct Filing Date, Applicants hereby submit a copy of the Express Mail Receipt with a November 12, 2003 postmark (Exhibit C, 1 page).

Applicants : Shibo JIANG and Asim Rumar DEBNATH

U.S. Serial No.: 10/706,027

Filed: November 12, 2003

Page : 2

Applicants respectfully request that the Filing Date be corrected to November 12, 2003, and that an updated Filing Receipt be issued to reflect these changes. Further, Applicants respectfully request that the Filing Date on the Notice of Recordation of Assignment Document be corrected to November 12, 2003, and that an updated Notice of Recordation of Assignment Document be issued to reflect these changes.

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone at the number provided below.

No fee is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,

Albert Wai-Kit Chan
Registration No. 36,479
Attorney for Applicants
Law Offices of
Albert Wai-Kit Chan, LLC
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141-07 20<sup>th</sup> Avenue
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## Exhibit A



### United States Patent and Trademark Office

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**CONFIRMATION NO. 6196** 

FILING RECEIPT OC000000012045843\*

Albert Wai-Kit Chan Law Offices of Albert Wai-Kit Chan, LLC World Plaza, Suite 604 141-07 20th Avenue Whitestone, NY 11357

Date Mailed: 03/08/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shibo Jiang, Fresh Meadows, NY; Asim Kumar Debnath, Fort Lee, NJ;

Assignment For Published Patent Application

New York Blood Center:

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/428,055 11/21/2002

Foreign Applications

If Required, Foreign Filing License Granted: 03/08/2004

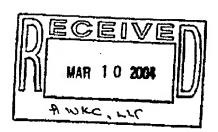
Projected Publication Date: 06/17/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



### Compounds for inhibition of HIV infection by blocking HIV entry

**Preliminary Class** 

514

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## Exhibit C

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